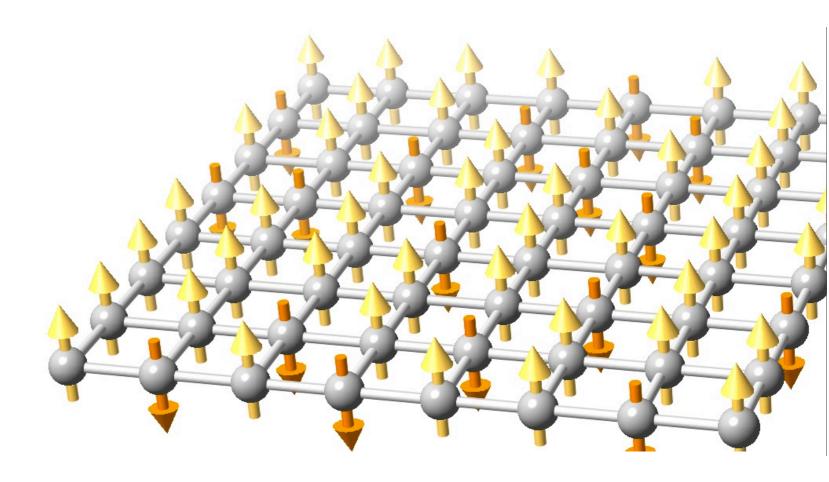
#### **DMRG: Basics**

Ying-Jer Kao
Department of Physics
National Taiwan University





#### **Exponential Wall**



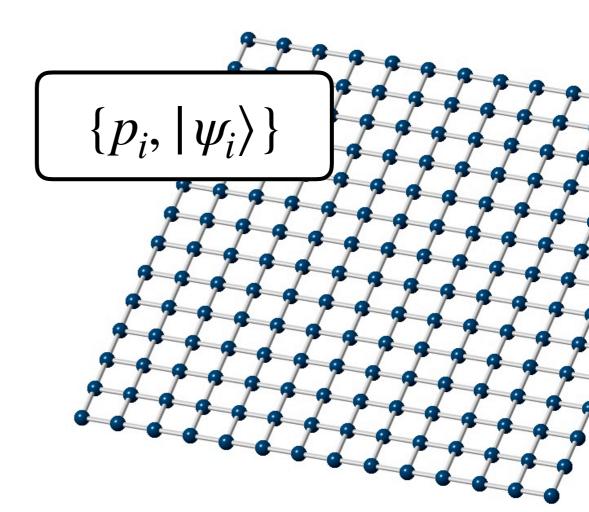
- Size of the Hilbert space grows exponentially with system size  $\sim d^N$
- Size of the Hilbert space occupied the ground state grows much slower  $\sim dN$

## **Density Matrix**

Probability  $p_i$  in the pure state  $|\psi_i\rangle$ 

$$\rho = \sum_{i} p_{i} \left| \psi_{i} \right\rangle \left\langle \psi_{i} \right|$$

- $\operatorname{tr} \rho = 1$
- $\langle \psi | \rho | \psi \rangle \ge 0 \quad \forall \psi$



Observable

$$\langle \mathcal{O} \rangle = \operatorname{tr}(\rho \mathcal{O}) = \sum_{i} p_{i} \langle \psi_{i} | \mathcal{O} | \psi_{i} \rangle$$

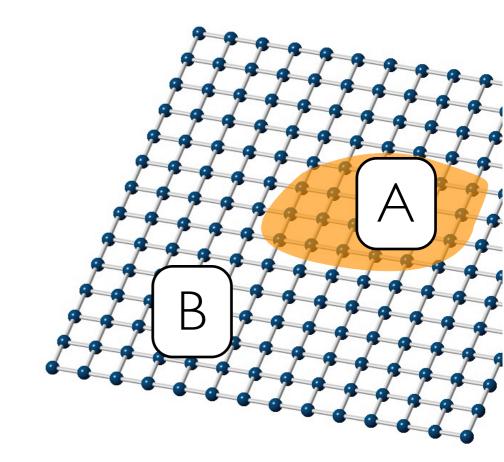
## Reduced Density Matrix

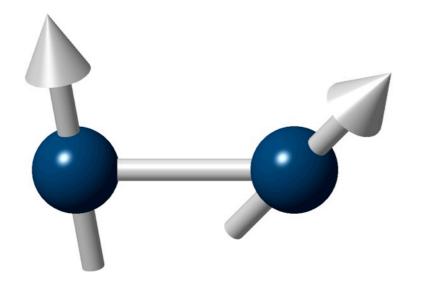
$$\rho_A = tr_B(\rho_{AB})$$

$$\rho_A = tr_B(\rho_{AB})$$

$$|\psi\rangle = \frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle)$$

$$|\psi\rangle = \sqrt{\frac{2}{\sqrt{2}}}(|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle)$$





$$\rho_A = \operatorname{tr}_B(|\psi\rangle\langle\psi|) = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

Best description of region A

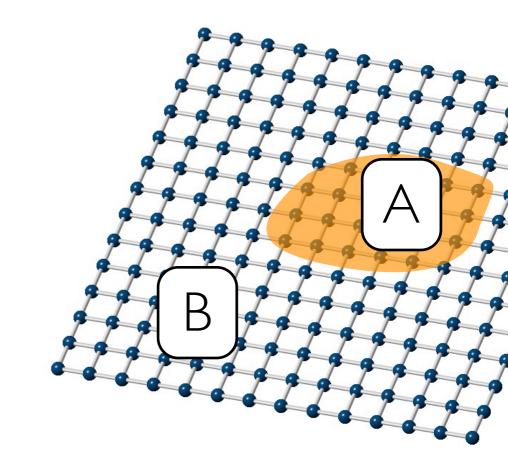
## Reduced Density Matrix

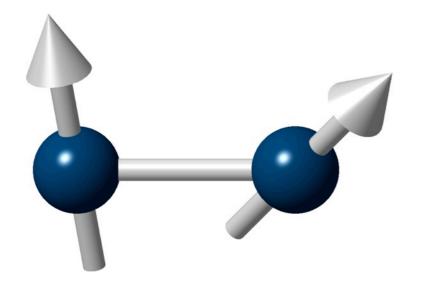
$$\rho_A = tr_B(\rho_{AB})$$

$$\rho_A = tr_B(\rho_{AB})$$

$$|\psi\rangle = \frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle)$$

$$|\psi\rangle = \sqrt{\frac{2}{\sqrt{2}}}(|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle)$$





$$\rho_B = \operatorname{tr}_A(|\psi\rangle\langle\psi|) = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

Best description of region B

## **Schmidt Decomposition**

• If  $|\psi\rangle$  is a pure state, it can always be decomposed into

$$|\psi\rangle = \sum_{i}^{N_A} \lambda_i |i_A\rangle |i_B\rangle$$

where

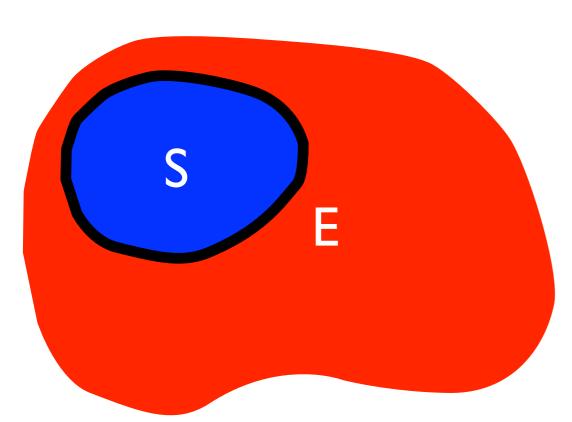
$$\lambda_i \geq 0$$
 and

 $\{ |i_A\rangle \}, \{ |i_B\rangle \}$  are orthonormal basis of A, B

$$\rho_A = \operatorname{tr}_B(|\psi\rangle\langle\psi|) = \sum_{i}^{N_\lambda} \lambda_i^2 \left| i_A \right\rangle \left\langle i_A \right|$$

## Subsystem states

What are the most important subsystem states?



Hamiltonian

$$H = H_S + H_E + H_{SE}$$

Wavefunction

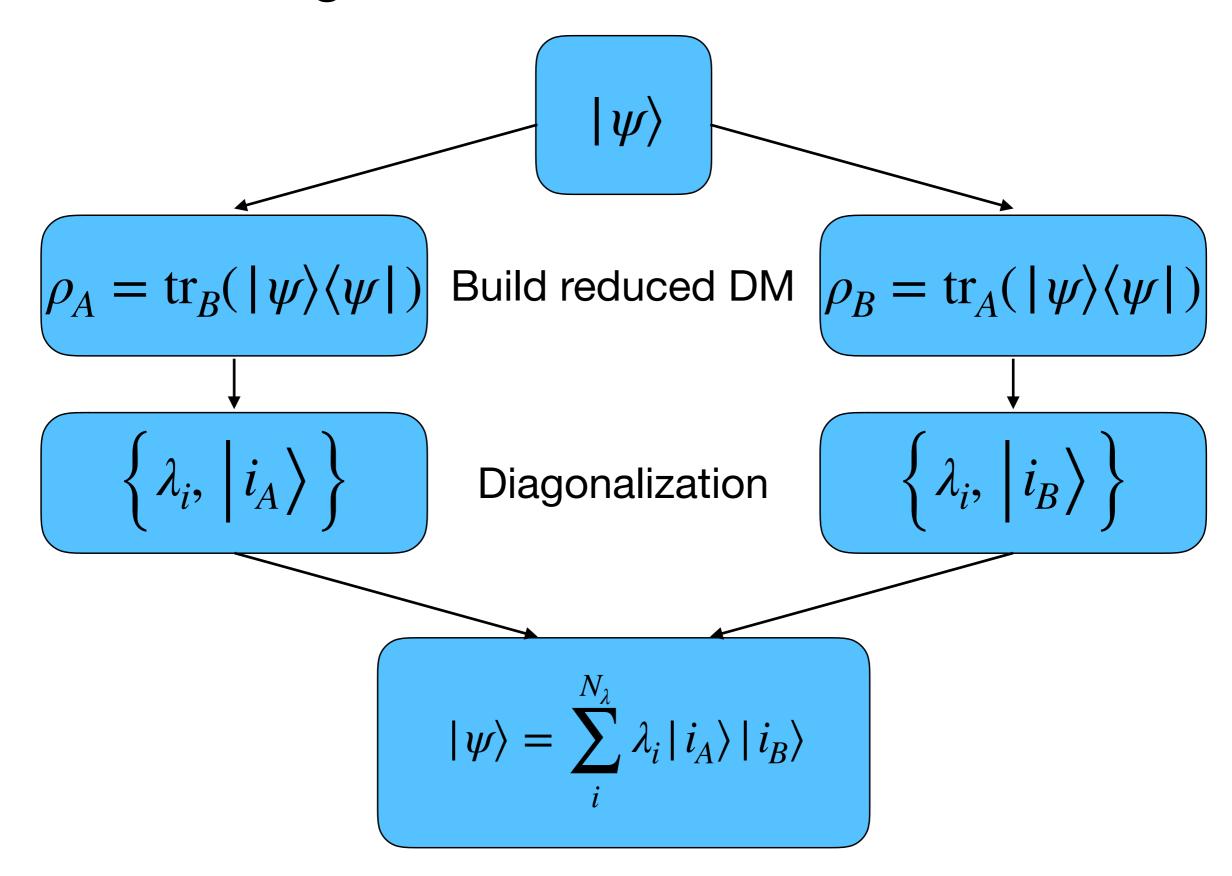
$$|\psi\rangle = \sum_{i,\alpha} \psi_{i,\alpha} |i\rangle_S |\alpha\rangle_E$$

Best approximation with m subsystem states:

$$|\tilde{\psi}\rangle = \sum_{n=1}^{m} \sum_{\alpha} \tilde{\psi}_{n,\alpha} |\phi_n\rangle_S |\alpha\rangle_E$$

Minimize the distance between states:  $S = \left| |\tilde{\psi}\rangle - |\psi\rangle \right|^2$ 

## Eigenstates of reduced DM

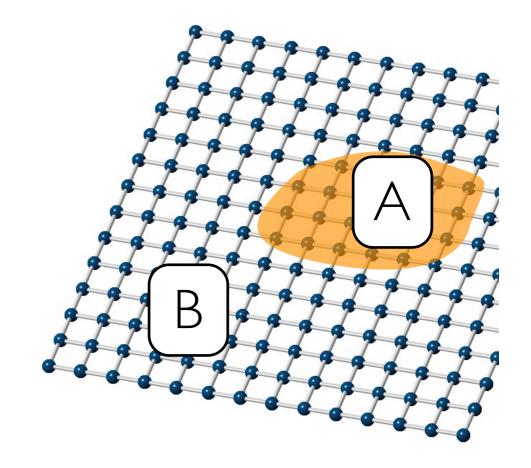


#### **Controlled Approximation**

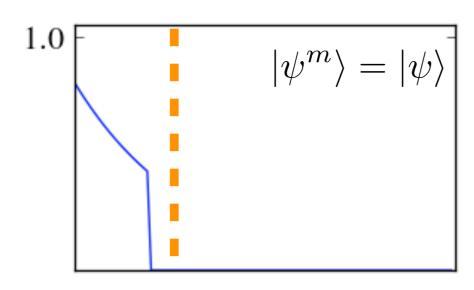
$$|\psi\rangle \approx \left|\psi_{AB}^{m}\right\rangle \equiv \sum_{i}^{m} \lambda_{i} \left|i_{A}\right\rangle \left|i_{B}\right\rangle, \quad m < N_{\lambda}$$

$$\epsilon = 1 - \sum_{i=m+1}^{N_{\lambda}} \lambda_{i}^{2}$$

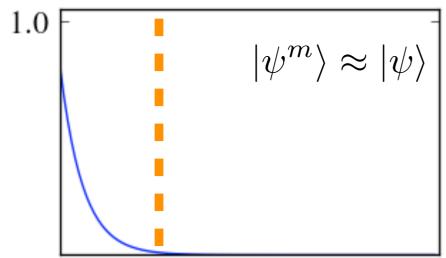
• The accutacy of the approximation depends on how fast  $\lambda_i$  decays.



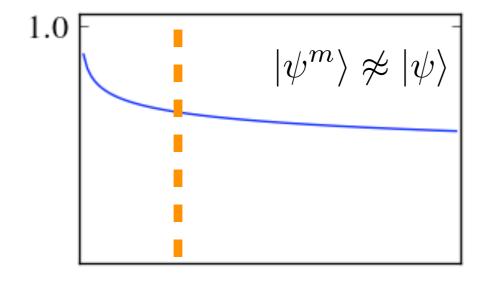
# Approximate Wavefunctions $\ll N_{Sch}$



m-dimensional MPS



1D ground state



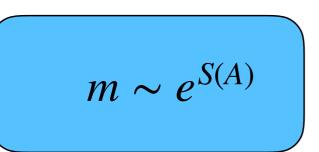
General, including 2D

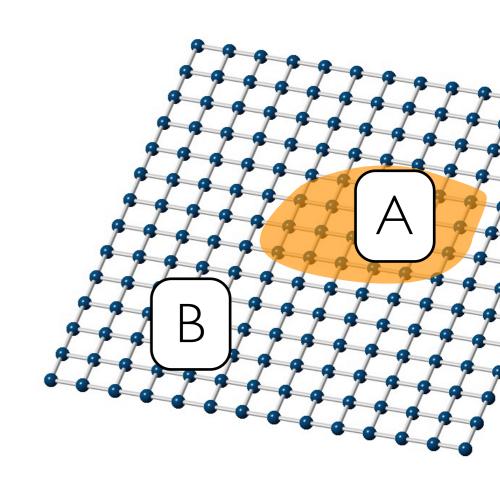
## **Entanglement Entropy**

**Von Neumann Entanglement Entropy** 

$$S(A) = -\operatorname{tr}\left[\rho_A \ln\left(\rho_A\right)\right] = -\sum_i p_i \ln p_i = S(B)$$

- Measures how entangled subregions A and B are.
- $\rho_A = tr_B(\rho_{AB})$  The number of states to keep, m, scales with S:





## Scaling of entanglement entropy

1D gapped : 
$$S(L) \sim \ln(\xi) \Rightarrow \lim_{L \to \infty} m \sim \text{const}$$

1D gapless : 
$$S(L) \sim \frac{c}{3} \ln(L) \Rightarrow \lim_{L \to \infty} m \sim L^{c/3}$$

**DMRG Works** 

2D gapped and gapless: Area Law

$$S(L) \sim L^{d-1} \Rightarrow \lim_{L \to \infty} m \sim e^{L^{d-1}}$$

- Diagonalization of the reduced density matrix gives you the RG transformation.
- Truncation is done by truncating the transformation matrix.

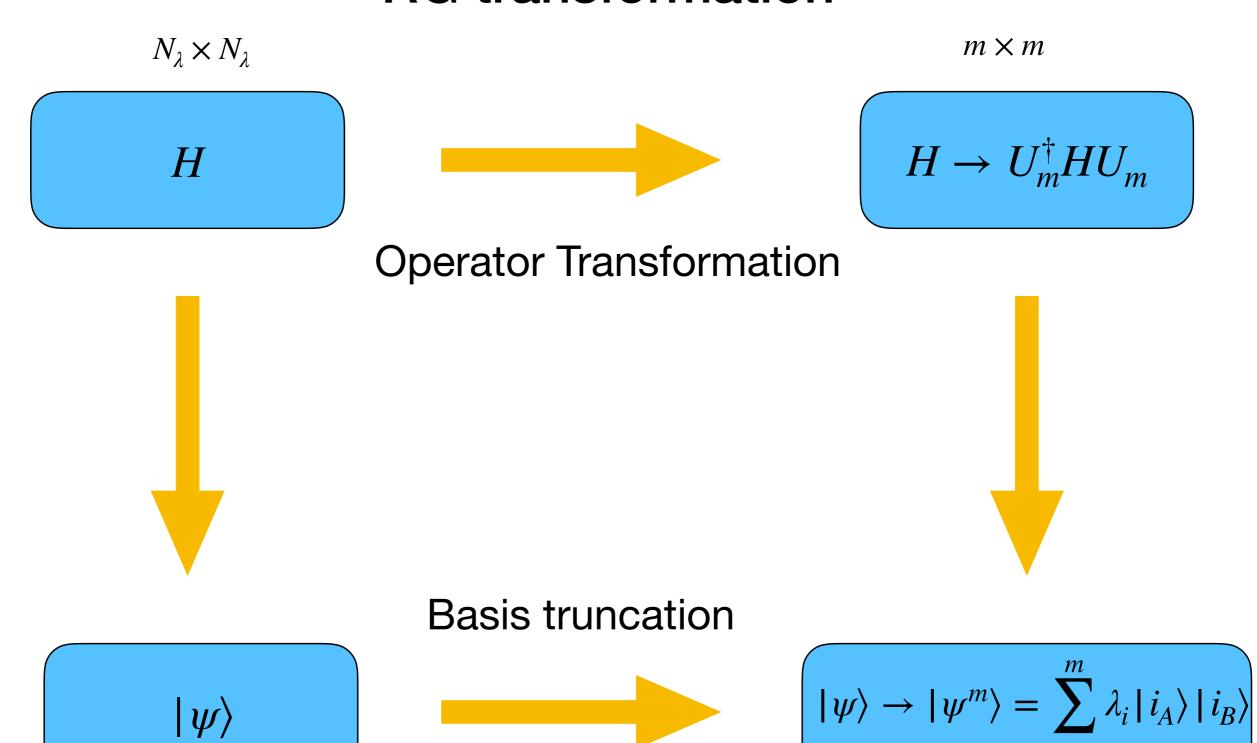
$$\rho_A^{dia} = U \rho_A U^{-1}$$

$$U = \begin{pmatrix} 1_{A} \rangle & |2_{A} \rangle & |N_{\lambda,A} \rangle \\ u_{11} & u_{12} & \cdots & u_{1N_{\lambda}} \\ u_{21} & u_{22} & \cdots & u_{2N_{\lambda}} \\ \vdots & \vdots & \ddots & \vdots \\ u_{N_{\lambda}1} & u_{N_{\lambda}2} & \cdots & u_{N_{\lambda}N_{\lambda}} \end{pmatrix}$$

- Diagonalization of the reduced density matrix gives you the RG transformation.
- Truncation is done by truncating the transformation matrix.

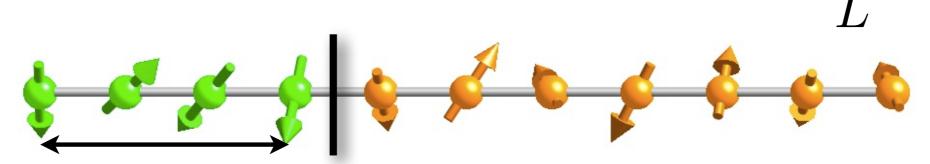
$$U \rightarrow U_{m} = \begin{pmatrix} |1_{A}\rangle & |2_{A}\rangle & |m_{A}\rangle \\ u_{11} & u_{12} & \cdots & u_{1m} \\ u_{21} & u_{22} & \cdots & u_{2m} \\ \vdots & \vdots & \ddots & \vdots \\ u_{N_{\lambda}1} & u_{N_{\lambda}2} & \cdots & u_{N_{\lambda}m} \end{pmatrix} \qquad |\psi\rangle \rightarrow |\psi_{m}\rangle$$

$$m \times N_{\lambda}$$



 $\sum \hat{S}_i \cdot \hat{S}_{i+1}, \qquad S = 1/2$ 

Heisenberg model



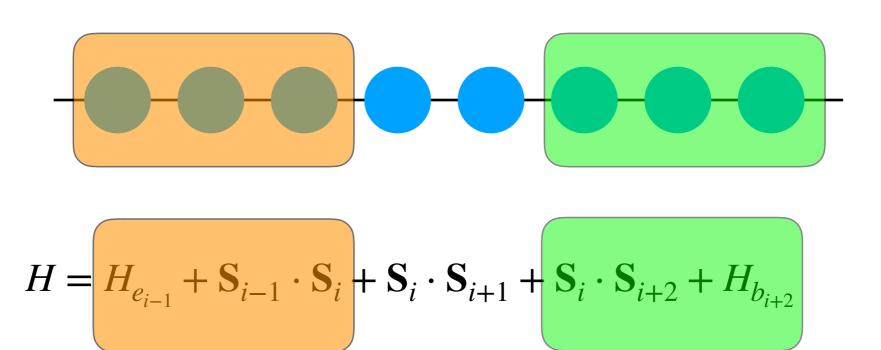
$$H = \sum_{i}^{l} \mathbf{S}_{i} \cdot \mathbf{S}_{i+1} = \sum_{i} S_{i}^{z} S_{i+1}^{z} + \frac{1}{2} \left( S_{i}^{+} S_{i+1}^{-} + S_{i}^{-} S_{i+1}^{+} \right)$$

$$S^{z} = \begin{pmatrix} 1/2 & 0 \\ 0 & -1/2 \end{pmatrix} \quad S^{+} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad S^{-} = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

$$S(l) = \frac{1}{6} \ln \left[ \frac{2L}{\pi} \sin \left( \frac{\pi l}{L} \right) \right] + \frac{1}{2} c' + \ln g$$

$$m \sim e^{S(L/2)} \approx L^{1/6}$$

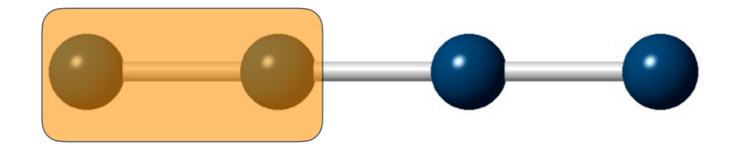
#### Split chain into blocks



**Block Hamiltonian** 

**Block Hamiltonian** 

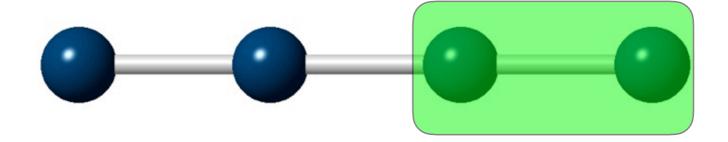
$$|\psi\rangle = \sum_{\substack{e_{i-1},\sigma_i,\\\sigma_{i+1},\sigma_{i+2}}} c_{e_{i-1},\sigma_i,\sigma_{i+1},b_{i+2}} \boxed{e_{i-1}\rangle\otimes |\sigma_i\rangle} \otimes \boxed{\sigma_{i+1}\rangle\otimes |b_{i+2}\rangle}$$



$$H_b^{(s)} = \frac{1}{2} \left( S_{s,1}^+ \otimes S_{s,2}^- + S_{s,1}^- \otimes S_{s,2}^+ \right) + S_{s,1}^z \otimes S_{s,2}^z$$

Single spin operator in the block

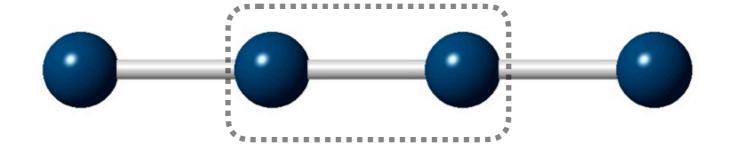




$$H_b^{(e)} = \frac{1}{2} \left( S_{s,3}^+ \otimes S_{s,4}^- + S_{s,3}^- \otimes S_{s,4}^+ \right) + S_{s,3}^z \otimes S_{s,4}^z$$

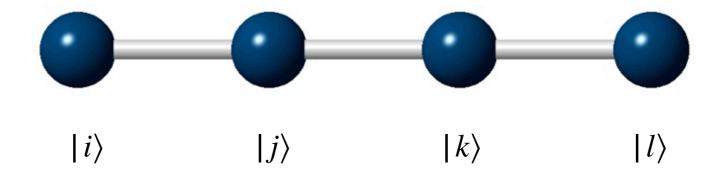
Single spin operator in the block

 $S \otimes I$ 



$$H_{se} = \frac{1}{2} \begin{pmatrix} S_{s,2}^+ \otimes S_{s,3}^- + S_{s,2}^- \otimes S_{s,3}^+ \end{pmatrix} + S_{s,2}^z \otimes S_{s,3}^z$$

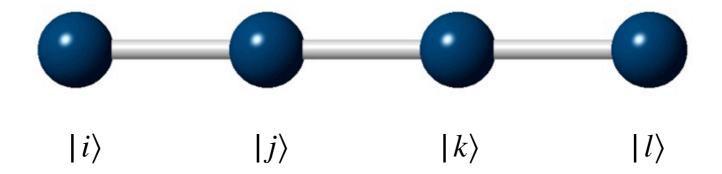
$$S^z = \begin{pmatrix} 1/2 & 0 \\ 0 & -1/2 \end{pmatrix} \quad S^+ = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad S^- = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$



$$H = H_b^{(s)} \otimes I + I \otimes H_b^{(e)} +$$

$$(S_x \otimes S_x + S_y \otimes S_y + S_z \otimes S_z)$$

#### Find the ground state



$$H = H_b^{(s)} \otimes I + I \otimes H_b^{(e)} + H_{se}$$

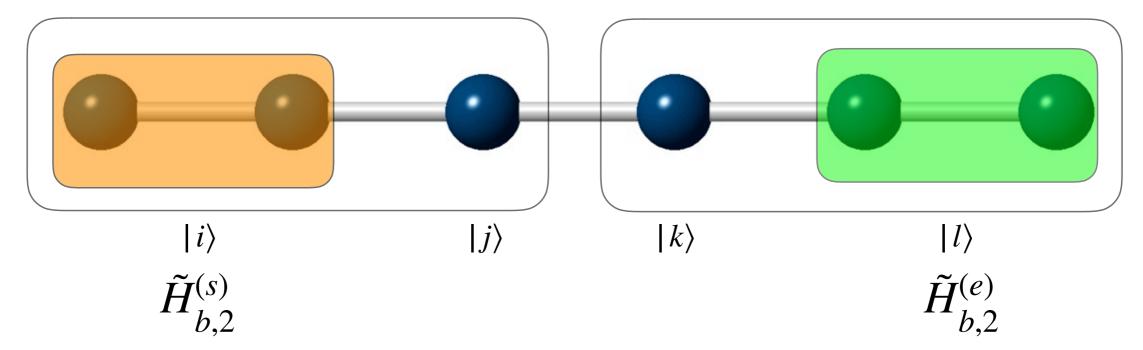
- Find the ground state  $|\psi_0\rangle$  of H
- Construct the density matrix  $\rho = |\psi_0\rangle\langle\psi_0|$
- Construct the reduced density matrix  $\rho_s = \sum_{kl} \langle k | \langle l | \psi_0 \rangle \langle \psi_0 | k \rangle | l \rangle$
- Keeping m eigenstates  $\{\,|\,\phi_i\rangle\}$  with largest eigenvalues  $\{\Lambda_i\}$  of  $\rho_s$

Construct transformation matrix

$$U_m = (|\phi_1\rangle | |\phi_2\rangle \dots |\phi_m\rangle)$$

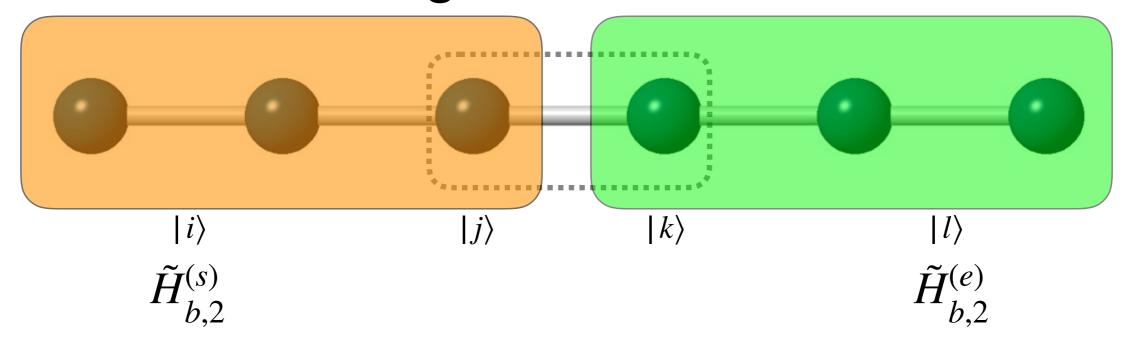
Transform the block Hamiltonian and operators

$$\tilde{H}_b^{(s)} = U_m^{\dagger} H_b^{(s)} U_m$$
 ,  $\tilde{\mathbf{S}} = U_m^{\dagger} (I \otimes \mathbf{S}) U_m$ 



$$H_{b,3}^{(s)} = \tilde{H}_{b,2}^{(s)} + (\tilde{\mathbf{S}} \otimes I) \cdot (I \otimes \mathbf{S})$$

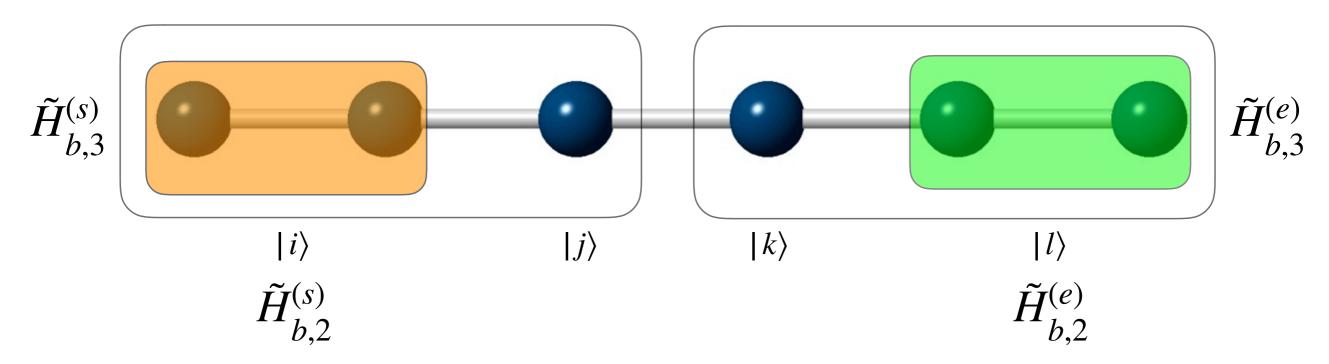
$$H_{b,3}^{(e)} = \tilde{H}_{b,2}^{(e)} + (I \otimes \mathbf{S}) \cdot (\tilde{\mathbf{S}} \otimes I)$$



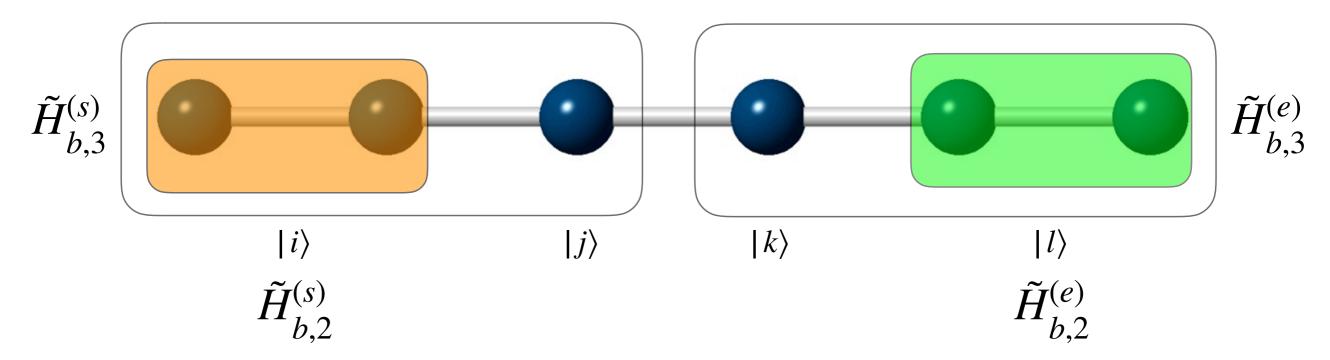
$$H_{b,3}^{(s)} = \tilde{H}_{b,2}^{(s)} + (\tilde{\mathbf{S}} \otimes I) \cdot (I \otimes \mathbf{S})$$

$$H_{se} = (\mathbf{S} \otimes I) \cdot (I \otimes \mathbf{S})$$

$$H = H_{b,3}^{(s)} \otimes I + I \otimes H_{b,3}^{(e)} + H_{se}$$



- Find the ground state  $|\psi_0\rangle$  of H
- Construct the density matrix  $\rho = |\psi_0\rangle\langle\psi_0|$
- Construct the reduced density matrix  $\rho_{s} = \sum_{l} \langle k | \langle l | \psi_{0} \rangle \langle \psi_{0} | k \rangle | l \rangle$
- Keeping m eigenstates  $\{\,|\,\phi_i
  angle\}$  with largest eigenvalues  $\{\Lambda_i\}$  of  $ho_s$



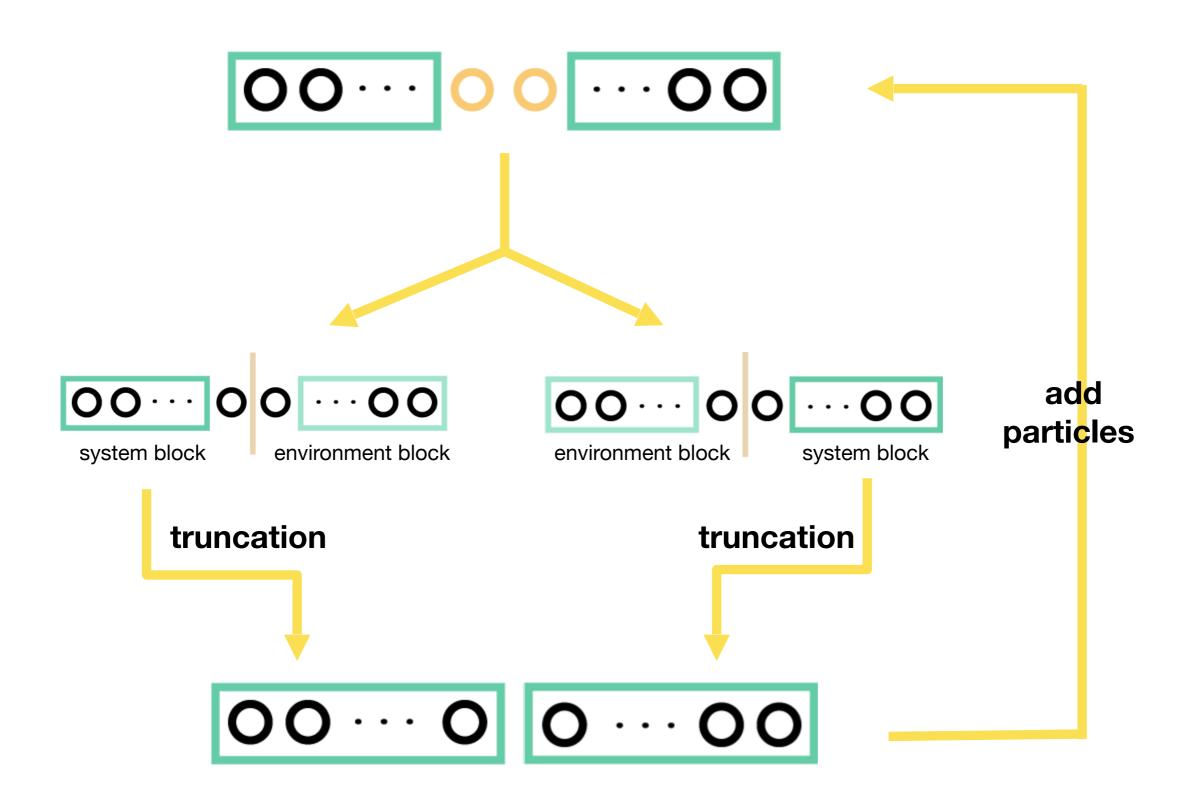
Construct transformation matrix

$$U_m = (|\phi_1\rangle | |\phi_2\rangle \dots |\phi_m\rangle)$$

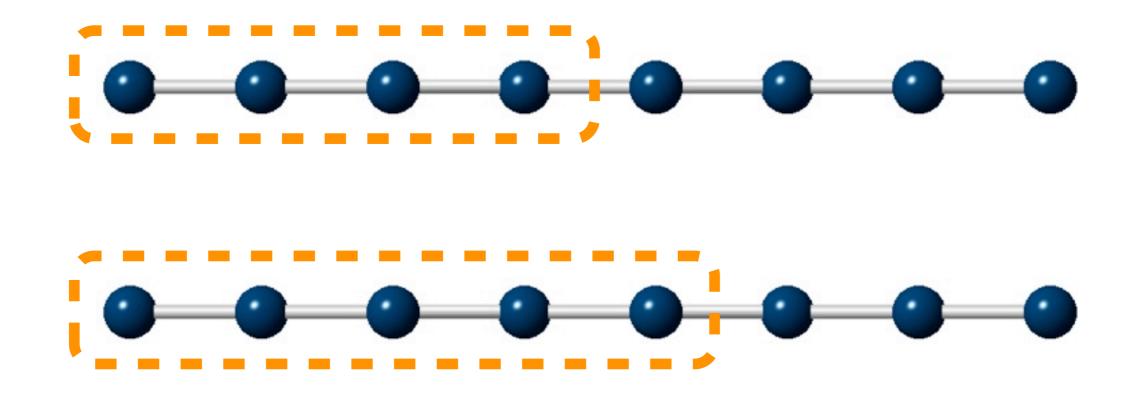
Transform the block Hamiltonian and operators

$$\tilde{H}_{b,3}^{(s)} = U_m^{\dagger} H_{b,3}^{(s)} U_m$$
,  $\tilde{\mathbf{S}} = U_m^{\dagger} (I \otimes \mathbf{S}) U_m$ 

#### Infinite-size DMRG

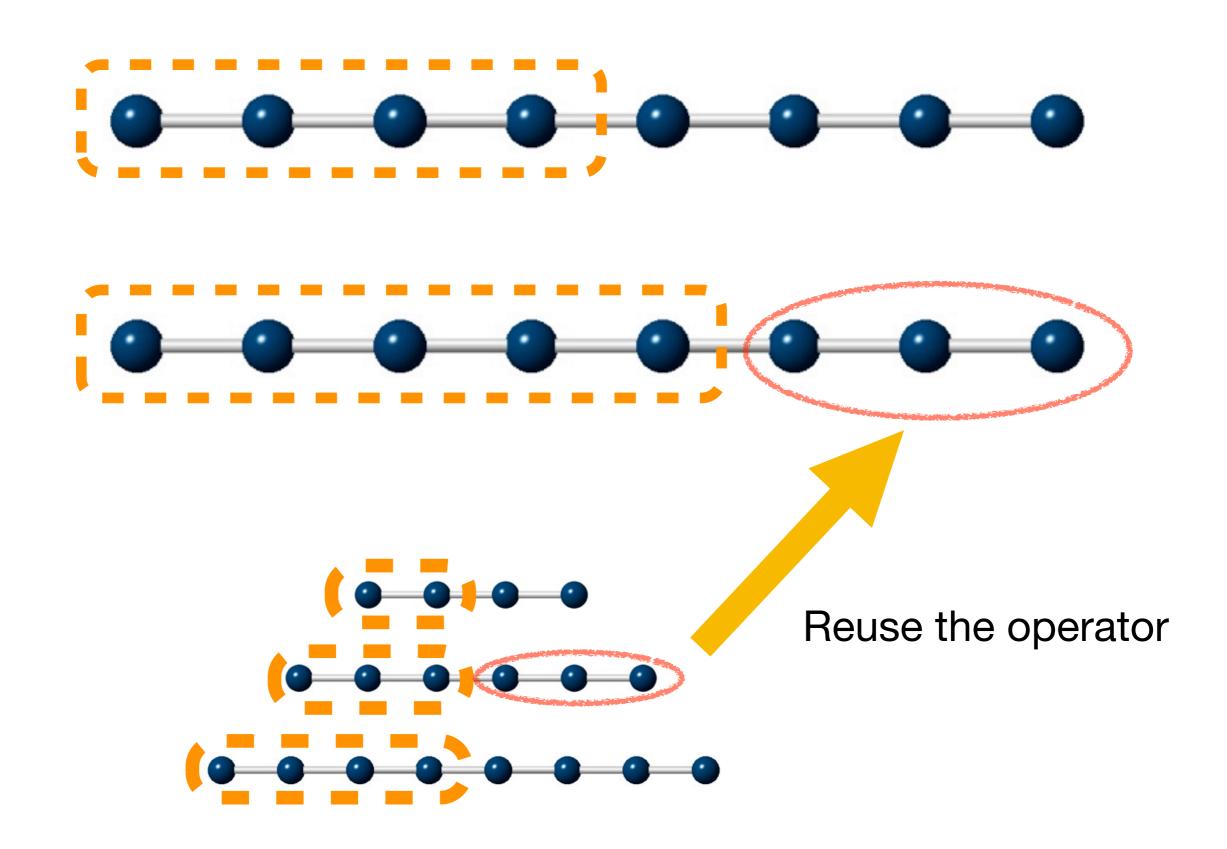


#### Finite-size DMRG

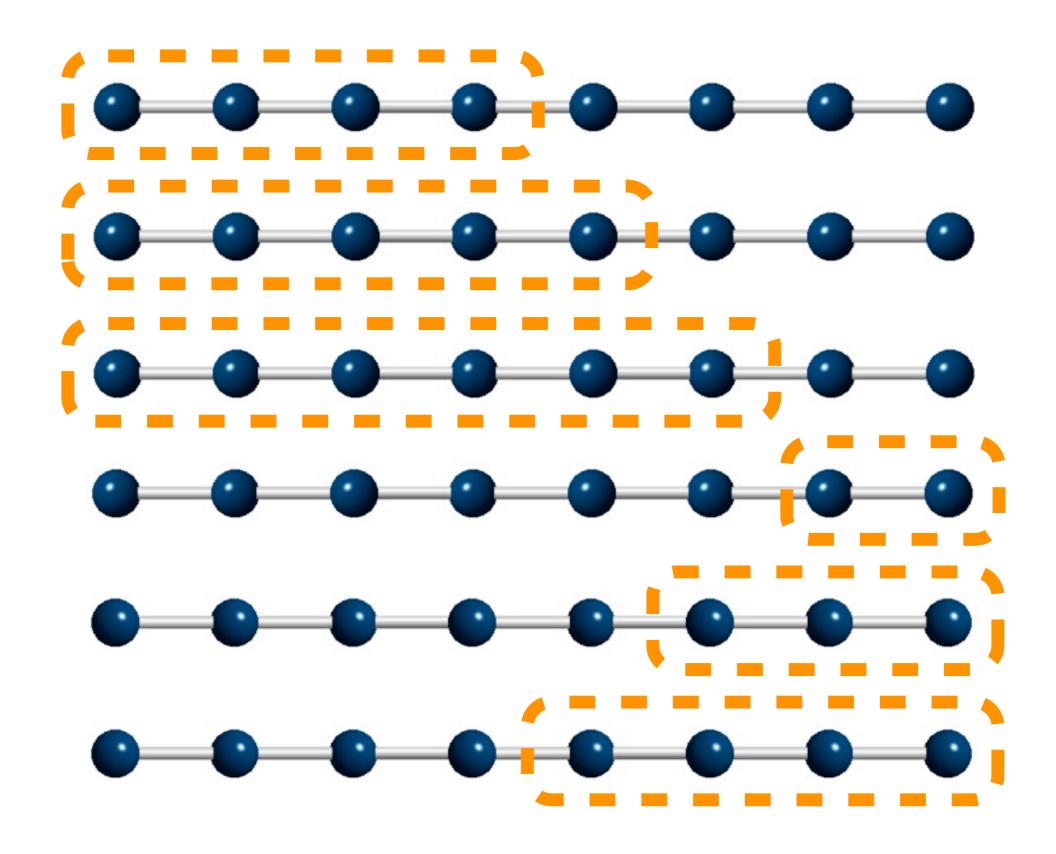


- Grow the chain to the desired size
- Improve ground state (energy) by sweeping

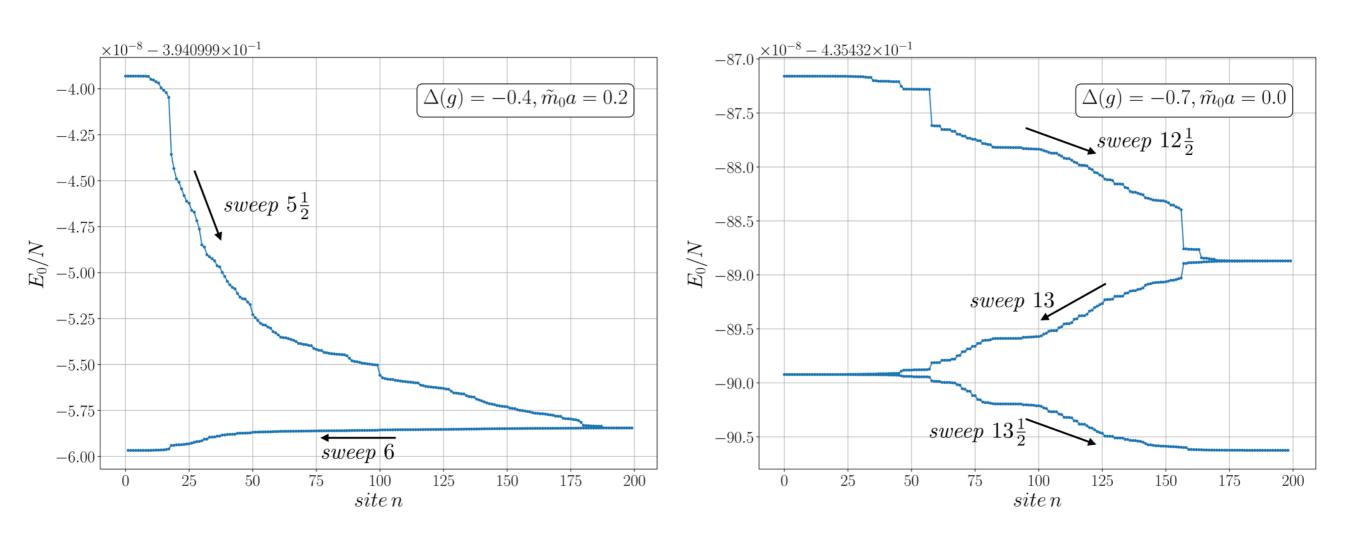
# Sweeping



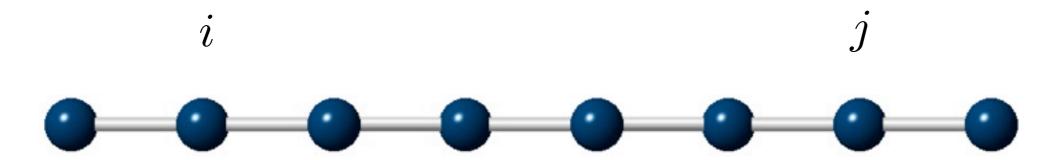
#### Finite-size DMRG



## Sweeping



#### Measurements



$$\left\langle \psi \left| S_i^z S_j^z \right| \psi \right\rangle \approx \left\langle \psi_{L/2}^m \left| \tilde{S}_i^z \tilde{S}_j^z \right| \psi_{L/2}^m \right\rangle$$

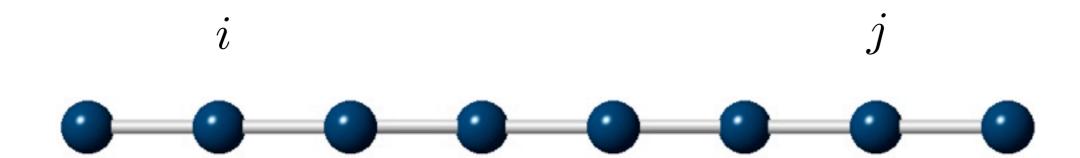
$$S_{i}^{z} = O(i, L/2) S_{i}^{z} O^{t}(i, L/2),$$

$$O(i, L/2) = U_{trunc}(i) U_{trunc}(i+1) \cdots U_{trunc}(L/2)$$

$$\tilde{S}_{i}^{z} = O(i, L/2)^{\dagger} S_{i}^{z} O(i, L/2)$$

$$O(i, L/2) = U_m(i)U_m(i+1)\cdots U_m(L/2)$$

#### Fermionic sign



$$S_j^z = c_j^{\dagger} c_j - \frac{1}{2}$$

$$S_j^+ = c_j^{\dagger} e^{i\pi \sum_{l < j} n_l}$$

$$S_j^- = c_j e^{-i\pi \sum_{l < j} n_l}$$

$$\cdots s_{j-1}\tilde{c}_j, \qquad s_i = e^{i\pi n_i}$$

$$c_i^{\dagger}c_j = S_i^{+}e^{-i\pi\sum_{l=i+1}^{j-1}n_l}S_j$$

**Jordan-Wigner transformation** 

#### Optimization

- Use symmetries
- Guess for Lanczos (wave function transformation)
- Everything under m<sup>3</sup>
- DGEMM should be your best friend